

ETHAN B. KAPSTEIN
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PROFESSIONAL EXPERIENCE

- 2014-present: UNITED STATES INSTITUTE OF PEACE WASHINGTON, DC
Senior Advisor and Director for Economics. Develop programming, conduct research and convene an inter-agency working group on the economics of conflict.
- 2013-present: MCCAIN INSTITUTE WASHINGTON, DC
Senior Director and Arizona Centennial Professor of International Affairs, McCain Institute for International Leadership, Arizona State University. Develop McCain Institute programming on US policy toward conflict-affected countries with a focus on the Democratic Republic of Congo; teach public affairs economics.
- 2011-present: PRINCETON UNIVERSITY PRINCETON, NJ
Associate Director and Senior Research Fellow, Empirical Studies of Conflict Program (since 2015); Visiting Research Collaborator (2011-2015). Play leading role in fund-raising for ESOC and conduct research on economics of conflict in fragile states.
- 2010-2014: UNIVERSITY OF TEXAS AT AUSTIN AUSTIN, TX
Dennis O'Connor Regent's Professor of Business and Tom Slick Professor of International Affairs. Appointments at LBJ School of Public Affairs, McCombs School of Business, and Department of Government. Teach courses in economic development and economics of national security. On leave 2011-2012 at Wharton School, Univ. of Pennsylvania and 2012-2013 at McDonough School of Business, Georgetown University.
- 2000-2010: INSEAD FONTAINEBLEAU, FRANCE and SINGAPORE
Chair in Political Economy. Taught MBA courses on business in emerging markets.
- 1996-2000: UNIVERSITY OF MINNESOTA MINNEAPOLIS, MN
Stassen Professor of International Peace, Humphrey Institute of Public Affairs. Taught graduate and undergraduate courses in international political economy, economic development, and comparative public policy. Developed and funded a summer research program for graduate students.
- 1994-1995: ORGANIZATION FOR ECONOMIC COOPERATION AND DEVELOPMENT PARIS, FRANCE
Principal Administrator, Directorate for Science, Technology, and Industry. Directed OECD programs in the area of industrial restructuring and defense conversion in the former Soviet Union. Focused on problems of social policy in defense-dependent communities.
- 1984 -1994: HARVARD UNIVERSITY CAMBRIDGE, MA
Director, Economics and National Security Program, John M. Olin Institute (1989-1994); Associate, Center for International Affairs (1984-present); Lecturer in International Business (1984-1994); Associate, Leverett House, Harvard College (1992-present). Developed and engaged in fund-raising for all aspects of Economics and National Security Program, including pre- and post-doctoral fellows program.

EDUCATION

- 1987-88: HARVARD UNIVERSITY CAMBRIDGE, MA
John M. Olin Post-Doctoral Fellow in Economics, Center for International Affairs.
- 1982-86: FLETCHER SCHOOL OF LAW AND DIPLOMACY MEDFORD, MA
Ph.D. in International Economics. Coursework in micro, macro, and international economics; international political economy; and international business history, at Harvard University.
- 1971-1976: BROWN UNIVERSITY PROVIDENCE, RI
A.B. in History of Science, June 1976.

MILITARY EXPERIENCE

Commander (Ret), USNR. Served on special active duty during Operation Desert Storm and as Special Visiting Assistant to Navy Secretary Richard Danzig during Kosovo conflict. Awarded Navy Achievement Medal.

RECENT RESEARCH GRANTS

2011-present: Research Grant, Office of Naval Research, for work on the economics of counterinsurgency.
2009-2011: Research Grant, Sloan Foundation, for project on industrial globalization.
2008-present: Research Grant, Merck Foundation, for project on future of HIV/AIDS treatment regime.
2005-2007: Research Grant, Smith Richardson Foundation, for project on the fate of young democracies.
2004-2006: Transatlantic Fellow, German Marshall Fund of the United States, for project on international armaments collaboration.

AWARDS, ACTIVITIES, and VISITING POSITIONS

2014: Don K. Price Award for Best Book in Science & Technology Policy, American Political Science Association
2013-present: Visiting Research Collaborator, Woodrow Wilson School, Princeton University.
2012-2013: Visiting Professor of Strategy, Georgetown University
2012-2013: Departmental Guest, Keller Center, Princeton University
2011-2012: Visiting Professor of Multinational Management, The Wharton School, University of Pennsylvania
2011-2012: Fellow, Niehaus Center, Princeton University
2011-present: Research Affiliate, National Bureau of Economic Research
2009-present: Non-Resident Senior Fellow, Center for a New American Security.
2004-present: Visiting Fellow, Center for Global Development.
2004-present: Board Member, Fondation Innovation Politique, Paris.
2003-present: Member, NBER Working Group on Economics and National Security.
2001-present: Research Associate, Institut Francais des Relations Internationales.
2001-present: Member, FTSE4GOOD Advisory Committee.
1999-00: Member, Secretary of the Navy's Personnel Task Force
1999-00: Consultant to the OECD on best practices in public policy
1999: Visiting Professor of International Economics at Universite de Nice and at HEC, France
1999: Special Assistant to Secretary of the Navy Richard Danzig
1997-00: Consultant on Social Policy, World Bank
1997: USIS Lecturer in West Africa
1996-99: Consultant on emerging markets, DELTEC Management Corporation
1996-97: Visiting Professor of Economics, Sciences Po, Paris.
1995: Elected to Membership, Council on Foreign Relations.
1994: Elected to Membership, International Institute for Strategic Studies.
1994: Visiting Professor, National Institute for Defense Studies, Tokyo, Japan.
1994: Distinguished Visiting Professor, National Defense University, Washington, DC
1992: Outstanding Academic Book Award, *Choice*, for *The Political Economy of National Security*.

1991: European Community Visitor's Program grant.

LANGUAGES and OTHER SKILLS

French (fluent), German (fair), Hebrew (good), Portuguese (good), Russian (fair). Excellent computer skills.

Memberships

American Economics Association; American Political Science Association; Council on Foreign Relations; Harvard Club of New York.

**ETHAN B. KAPSTEIN
PUBLICATIONS LIST**

BOOKS AND MONOGRAPHS

AIDS Drugs for All: Social Movements and Market Transformations. (New York: Cambridge University Press, 2013, with Josh Busby). Winner of the 2014 Don K. Price Award for Best Book in Science, Technology and Environmental Policy, American Political Science Association.

The Fate of Young Democracies (New York: Cambridge University Press, 2008, with Nathan Converse).

Economic Justice in an Unfair World: Toward a Level Playing Field (Princeton: Princeton University Press, 2006; paperback 2008).

--and Branko Milanovic, **Income and Influence: Social Policy in Emerging Market Economies** (Kalamazoo, MI: Upjohn Institute, 2003).

--and Branko Milanovic, eds. **When Markets Fail: Social Policy and Economic Reform** (New York: Russell Sage Foundation, 2002).

--and Michael Mastanduno, eds. **Unipolar Politics** (New York: Columbia University Press, 1999).

-- and Michael Mandelbaum, eds., **Sustaining the Transition: Social Policy in the Post-Communist Economies** (New York: Council on Foreign Relations, 1997)

Governing the Global Economy: International Finance and the State (Cambridge, MA: Harvard University Press, 1994; paperback, 1996)

The Political Economy of National Security (New York: McGraw-Hill, 1992). Winner, Outstanding Book Award, Choice Magazine.

The Insecure Alliance: Energy Crises and Western Politics (New York: Oxford University Press, 1990).

SELECTED ARTICLES and WORKING PAPERS

“Land and Rebellion,” **Survival** (April/May 2014).

“Economic Assistance in Conflict Zones: Lessons from Afghanistan,” **Center for Global Development Policy Paper** (October 2012, with Kamna Kathuria).

“Predation, Economic Activity, and Violence: Evidence from the Philippines,” **NBER Working Paper 18375** (September 2012, with Eli Berman, Joseph Felner, and Erin Troland).

“Arms Away: How Washington Squandered its Monopoly on Weapons Sales,” **Foreign Affairs** (September/October 2012, with Jonathan Caverley).

“Measuring Progress in Modern Warfare,” **Survival** (February/March 2012).

“Why Democracies Fail,” in Larry Diamond, ed., **Poverty, Inequality and Democracy** (Baltimore, MD: Johns Hopkins University Press, 2012, with Nathan Converse).

“Sourcing Locally for Impact,” **Stanford Social Innovation Review** (Fall 2011, with Rene Kim).

- “Military Metrics: How Do We Know if We’re Winning (or Losing) a War?” **Small Wars Journal** (July 2011).
- “Do Three D’s Make an F? The Limits of ‘Defense, Diplomacy and Development’,” **PRISM** (summer 2010).
- “Making Markets for Merit Goods: The Political Economy of ARV’s,” **Global Policy** (January 2010, with Josh Busby).
- “Reforming Defense Procurement: Lessons from France” **Business and Politics** (summer 2009, with Jean-Marc Oudot).
- “Africa’s Capitalist Revolution,” **Foreign Affairs** (July/August 2009).
- “The Politics of Democratic Reversal,” **Journal of Democracy** (Fall 2008, with Nathan Converse).
- “Fairness Considerations in World Politics: Lessons from International Trade Negotiations,” **Political Science Quarterly** (Summer 2008).
- “The Threat to Young Democracies,” **Survival** (May/June 2008, with Nathan Converse).
- “Architects of Stability? International Cooperation among Financial Supervisors,” in Claudio Borio, ed., **Past and Future of Central Bank Cooperation** (New York: Cambridge University Press, 2008).
- “The New Global Slave Trade,” **Foreign Affairs** (November/December 2006).
- “The Political Economy of International Cooperation: A View from Fairness Economics,” **The Political Economist** (Summer 2006).
- “Economics of Young Democracies: Policies and Performance,” **Center for Global Development Working Papers** (March 2006).
- “The Politics of Policy Coherence,” in OECD, **Policy Coherence for Development: Institutional Approaches** (Paris: OECD, 2005).
- “Power, Fairness, and the Global Economy,” in Michael Barnett and Raymond Duvall, eds., **Power in Global Governance** (New York: Cambridge University Press, 2005).
- “Behavioral Foundations of Democracy and Development,” **Center for Global Development Working Papers** (December 2004).
- “Capturing Fortress Europe: International Collaboration and the Joint Strike Fighter,” **Survival** (Fall 2004).
- “Models of International Economic Justice,” **Ethics and International Affairs** (Fall 2004).
- “Is the Global Economy Just?” **Challenge** (September/October 2004).
- “Colliding Forces: Domestic Politics and the Global Economy,” (with Stephen Kobrin), in Hubert Gatignon, eds, **The Alliance on Globalizing** (New York: Cambridge University Press, 2004).
- “Two Dismal Sciences are Better than One,” **International Security** (Winter 2002/03).
- “The Baby Trade,” **Foreign Affairs** (Nov./Dec. 2003).
- “Allies and Armaments,” **Survival** (Summer 2002).
- “Virtuous Circles? Human Capital Formation, Economic Development, and the Multinational Enterprise,” **OECD**

Development Center (Technical Paper no. 191, 2002).

“The Corporate Ethics Crusade,” **Foreign Affairs** (Sept./Oct. 2001)

“Responding to Globalization: Social Policy in Emerging Market Economies,” **Global Social Policy** (Fall 2001 with Branko Milanovic)

“Inequality, Growth and Democracy,” **World Politics** (January 2001, with Dimitri Landa)

“Winners and Losers in the Global Economy,” **International Organization** (Spring 2000)

“Dividing the Spoils: Pensions, Privatization, and Reform in Russia’s Transition,” **World Bank Policy Research Working Paper no. 2292** (March 2000, with Branko Milanovic)

“Democratization and Globalization,” in Marc Plattner, ed., **International Relations and Democracy** (Washington, DC: Johns Hopkins University Press, 2000—with Dimitri Landa)

“Between God and the Market: The Religious Roots of the American Economics Association,” **Journal of Economic Perspectives** (fall 1999—with Bradley Bateman).

“Reviving Aid,” **World Policy Journal** (Fall 1999)

“Distributive Justice as an International Public Good: A Historical Perspective,” in **Global Public Goods**, Inge Kaul, Isabelle Grunberg and Marc A. Stern ed. (New York: Oxford University Press, 1999)

“Distributing the Gains: Justice and International Trade,” **Journal of International Affairs** (Spring 1999)

“Distributive Justice and International Trade” **Ethics and International Affairs** (1999)

“Trade Liberalization and the Politics of Trade Adjustment Assistance,” **International Labor Review** (1998)

“A Global Third Way: Social Justice and the World Economy,” **World Policy Journal** (Fall 1998).

“Global Rules for Global Finance” **Current History** (Fall 1998)

“Reviving the State?” **World Policy Journal** (Spring 1998)

“Social Policy and the Transition” **Social Research** (Winter 1998)

“Advanced Industrialized Countries,” in A. Pierre, ed., **Cascade of Arms: Managing Conventional Weapons Proliferation** (Washington DC: Brookings Institution Press, 1997)

“Hamilton and the Jeffersonian Myth,” **World Policy Journal** (Spring 1997)

“Racing to the Bottom? Regulating International Labor Standards,” **International Politics and Society** (Spring 1997)

“Workers and the World Economy,” **Foreign Affairs** (May/June 1996)

“Shockproof: The End of the Financial Crisis,” **Foreign Affairs** (January/February 1996)

“Is Realism Dead? The Domestic Sources of International Politics,” **International Organization** (Autumn 1995)

“The Economic Transition in Defence-Dependent Regions of Russia,” **Defence and Peace Economics** (1995, Vol.6)

- and C. Marshall Mills, "Defence Conversion in Russia's Regions," **OECD Observer** (February/March 1995)
- "America's Arms Trade Monopoly," **Foreign Affairs** (May/June 1994)
- "Governing Global Finance," **The Washington Quarterly** (Spring 1994)
- "We are US: The Myth of the Multinational," **The National Interest** (Winter 1991/92)
- "Between Power and Purpose: Central Bankers and the Politics of International Regulation," **International Organization** (Winter 1991/92)
- "International Collaboration in Armaments Production," **Political Science Quarterly** (Winter 1991/92)
- and Raymond Vernon, "National Needs, Global Resources," **Daedalus** (Fall 1991)
- "The Brazilian Defense Industry and the International System," **Political Science Quarterly** (Winter 1990-91)
- "The Basle Accord and Financial Competition," **Harvard Business Review** (January/February 1990)
- "Resolving the Regulator's Dilemma: International Cooperation among Financial Regulators," **International Organization** (Winter 1989/90).

NEWSPAPER/ OP-ED/COMMENTARY/POLICY BRIEFS

- "How to Intervene without Blowing Stuff Up," **ForeignPolicy.com** (May 2014).
- "Arms Sales and American Interests," **Defense News** (September 17, 2012, with Jonathan Caverley)
- "Will Afghanistan Collapse?" **Foreign Policy.com** (February 2012).
- "Bright Spots in Afghanistan," **Foreign Policy.com** (July 2010).
- "Baby OnBoard," **Foreign Affairs.com** (June 2010).
- "Arsenal's End: American Power and Defense Industrial Globalization," **CNAS Policy Brief** (March 2010).
- "Smart Defense Acquisition: Learning from French Procurement Reform," **CNAS Policy Brief** (December 2009).
- "International Armaments Collaboration: An American View," **Defense Nationale** (October 2008).
- "Smart Bomb Procurement," **Wall Street Journal (June 17, 2008)**
- "Business Can Power Society Towards the Goal of Prosperity for All," **Business Report (South Africa)** 11 March 2008 (with Unilever SA chairman Gail Klintworth).
- "Multinational Risks," **Wall Street Journal Europe** (May 10, 2006).
- "Avoiding Unrest in a Volatile Environment," **Financial Times** (March 10, 2006).
- "The Trade Barriers Within," **Wall Street Journal Europe** (August 12, 2004).
- "What the Arab World Can Teach the West," **Wall Street Journal Europe** (April 24, 2003)

“Five Basic Principles for a Worldwide Code of Business Ethics,” **International Herald Tribune** (January 24, 2001)

“L’Amerique, Machine Egalitaire,” **L’Expansion** (December 7, 2000)

“Beware of What You Ask For,” **International Herald Tribune** (May 23, 2000)

“Collapse of a World Safety Net,” **Los Angeles Times** (January 31, 1999)

“Africa is Missing out on a Revolution,” **International Herald Tribune** (September 24, 1998)

“Back to Basics,” **Los Angeles Times** (September 6, 1998)

“Barking Up the Wrong Tree,” **International Herald Tribune** (May 30-31, 1998)

“How Russia Could Make Good Use of the Asian Crisis,” **International Herald Tribune** (January 26, 1998)

“West Finally Sees Regimes as Part of Woes in Asia,” **Los Angeles Times** (January 21, 1998)

“Free Trade’s Dirty Little Secret,” **Minneapolis Star-Tribune** (Sept. 11, 1997)

“Capital Mobile, Travailleurs Immobile,” **Le Monde** (March 30, 1997)

“The Welfare State? An Economic Cornerstone, Not a Luxury” **International Herald Tribune** (January 30, 1997)

“France Versus Europe,” **New York Times** (December 8, 1995)

“Russia’s Future Could Well Be Found In Its Provinces,” **International Herald Tribune** (November 24, 1995).